



DEPARTMENT OF THE NAVY  
OFFICE OF THE CHIEF OF NAVAL OPERATIONS  
WASHINGTON, DC 20350

6

IN REPLY REFER TO

OPNAVINST 4441.13  
Op-412E  
9 April 1984

OPNAV INSTRUCTION 4441.13

Subj: Coordinated Shorebased Allowance List (COSBAL); funding and approval policy for

Ref: (a) OPNAVINST 4000.82 (NOTAL)  
(b) OPNAVINST 4441.12B

1. Purpose. To promulgate policy guidance for approval of COSBALs and funding of concomitant end use or Navy Stock Fund material at U. S. Navy shore installations and U. S. Marine Corps Air Stations to support both the backfit of allowances for currently installed equipment and the installation of new equipment.

2. Applicability. This instruction applies to all Navy shore activities and Marine Corps Air Stations except TRIDENT activities governed by reference (a), Navy Industrial Fund (NIF) activities, and activities funded by Military Construction Supervision, Inspection and Overhead. This instruction pertains to the consumables, spares and repair parts which support the equipment used in performance of an activity's mission.

3. Background

a. A COSBAL is a consolidated listing of spares, repair parts, and consumable items tailored to the requirements of shore activities to support organization maintenance for authorized equipment. The COSBAL is published by the Navy Ships Parts Control Center (SPCC), Mechanicsburg, PA, and serves the same purpose for shore activities as the Coordinated Shipboard Allowance List (COSAL) does for active fleet ships.

b. Shore establishment COSBALs have been established, maintained, and funded based on a decentralized management policy tailored to local needs. This has resulted in several inconsistencies requiring clarification. Generally, shore establishment equipment installation supply support was not procured prior to fiscal year 1981. Since fiscal year 1981, equipment installation supply support has been procured for only Chief of Naval Education and Training and Naval Telecommunication Command shore sites.

c. Some shore activities have received COSBALs and have established inventories. Other activities have obtained allowance lists and requisitioned inventories with user operating funds, or have developed their own allowance lists based on demand or subjective criteria. In many cases, this supply support has resulted in the unplanned and unprogrammed drawdown of stocks procured for other purposes such as deployed fleet resupply.

9 APR 1984

#### 4. Policy

a. COSBALs will specify parts support for ordnance, electronics, and hull, mechanical, and electrical equipment for which allowance parts lists (APLs) are established (signifying that they have been provisioned for Navy support). Commercially supported equipment will not be included in the COSBAL. For example, the LM-2500 Hot Plant at the Naval Training Center, Great Lakes will be supported because it has APLs established, but the water brake piping and valve systems, which are commercially supported and do not have APLs established, will not be included. Office machines, typewriters, and other commercial, off-the-shelf equipment will not be supported in the COSBAL. All systems and equipment maintained in the SPCC weapons systems file (WSF) will be included in an activity's COSBAL.

b. The scope of backfitting allowance list support for Navy shore activities and Marine Corps Air Stations, from both a funding and an allowance development workload perspective, necessitates an incremental phasing of this requirement. Major claimants will prioritize requests for COSBALs within their claimancy, both for COSBAL production and programming/budgeting purposes.

c. Initial establishment of an activity COSBAL for existing equipment (including updating of existing COSBALs for equipment installed prior to fiscal year 1985) requires funding for inventory increases, which must be programmed in the Program Objective Memorandum (POM) process by the OPNAV resource sponsor, budgeted by the major claimant, and funded by Congressional appropriation prior to requisitioning material for a COSBAL allowance. The funds to be programmed will be operating funds for COSBALs accounted for in either end use or Navy Stock Account (NSA). The major claimant will provide the activity requesting a COSBAL with operating funds to requisition COSBAL support for existing equipments installed prior to fiscal year 1985. After establishment, replenishment and allowance list changes will be funded by operating funds or the Navy Stock Fund, whichever is appropriate to the spares accounting performed by the user.

d. Establishment of a COSBAL inventory is prohibited unless both the SPCC COSBAL document and the budgeted funds to requisition the inventory are available. Major claimants are the approving authority for establishment of a COSBAL inventory.

e. Navy cognizance material for initial COSBAL support will be requisitioned using deferred requisitioning procedures which provide for the central processing of funded requisitions by SPCC similar to that used for planned program requirements.

9 APR 1984

f. If the activity holding the COSBAL performs NSA accounting, the material will be capitalized into NSA inventory on the Material Support Date (MSD) and upon receipt after MSD, and accounted for under NSA procedures. Otherwise, the material will be accounted for under end use accounting procedures prescribed by the major claimant.

g. For new equipment installations:

(1) The hardware systems command/project manager/project office is responsible for providing complete weapon system support prior to the MSD, including backordered requisitions at MSD.

(2) Initial or follow-on spare and repair parts outfitting requirements for new installations or modifications of equipment, for which Navy organizational maintenance is prescribed, are the funding responsibility of the Naval Material Command both before and after MSD.

(3) All user replenishment spares or repair parts requirements initiated on or after MSD are the responsibility of user operating funds or Navy Stock Fund, whichever is appropriate to the spares accounting performed by the user.

h. The range and depth of the COSBAL will be established to meet the supply performance goals of reference (b).

5. Responsibilities (Initial Establishment of a COSBAL for Existing Equipment)

a. Major Claimant

(1) Submit COSBAL funding deficiencies to OPNAV Resource Sponsor.

(2) Budget COSBAL funding requirements for initial (including updating of COSBALs for equipment installed prior to fiscal year 1985) in accordance with the POM and budget policies and procedures.

(3) Prioritize COSBAL requirements within the major claimancy.

b. OPNAV Resource Sponsor

(1) Program COSBAL funding requirements in the POM.

6. Responsibilities (New Equipment Installations)

a. Chief of Naval Material

9 APR 1984

(1) Provide complete weapons system support prior to the MSD, including backordered requisitions at MSD.

(2) Fund initial and follow-on outfitting requirements for new installations of equipment for which Navy organizational maintenance is prescribed, both before and after MSD.

7. Responsibilities (General)

a. Chief of Naval Material

(1) Implement procedures for Navy shore activities and Marine Corps Air Stations to maintain configuration of equipments in the WSF from which the COSBAL is generated.

(2) Specify the range and depth of COSBAL support required to meet the average customer wait time goals of reference (b).

(3) Coordinate and prioritize the COSBAL production workload.

(4) Develop and implement procedures for the funded-deferred requisitioning of Navy cognizance material for COSBAL inventories.

(5) Through the Navy Stock Fund, fund COSBAL replenishment and maintenance and allowance changes for those NSA accounting activities that maintain authorized COSBALs.

b. Major Claimant

(1) For end use accounting activities, budget end use operating funds for all replenishment stock and spare or repair parts requirements initiated on or after MSD.

(2) For NSA accounting activities, budget end use operating funds for equipment maintenance requirements initiated on or after MSD.

(3) Prescribe end use accounting procedures to be used by subordinate activities.

c. OPNAV Sponsor

(1) Program initial and follow-on outfitting and replenishment support for new equipment to be supported by COSBALs in the POM process.

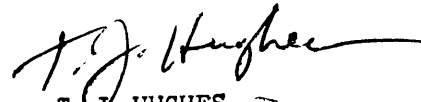
8. Exceptions to this policy will be approved by CNO (OP-41).

9. Action

a. Major Claimants shall develop procedures implementing these policies.

b. The Chief of Naval Material, in addition to action as a major claimant, shall develop and promulgate procedures for the funded-deferred requisitioning of Navy cognizance COSBAL material.

10. Approvals. The Comptroller of the Navy approves the contents of this instruction.

  
T.J. HUGHES  
Deputy Chief of Naval  
Operations (Logistics)

Distribution:

SNDL A4A (Chief of Naval Material)  
A5 (Bureaus)  
A6 (Headquarters U. S. Marine Corps)  
21A (Fleet Commanders in Chief)  
22A (Fleet Commanders)  
23C3 (Naval Reserve Force Commander)  
46B (Aircraft Wing)  
FKA1 (Systems Command Headquarters)  
FT1 (Chief of Naval Education and Training)  
FT2 (Chief of Naval Air Training)

Copy to:

SNDL A1 (Immediate Office of the Secretary)  
A2A (Department of the Navy Staff Offices)  
(OPA and NAVCOMPT only)  
FF1 (Naval District Washington, DC)  
FKM13 (Ships Parts Control Center)  
FKM15 (Aviation Supply Office)  
FKM17 (Fleet Material Support Office)

Ops 02, 03, 04, 05, 92, and 94

Stocked:

CO, NAVPUBFORMCEN  
5801 Tabor Ave.,  
Phila., PA 19120 (100)